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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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09/767,126

Daman, et al.

1/22/01

REAL TIME ELECTRONIC COMMERCE

TECH CENTER 100/2010

TELECOMMUNICATION SYSTEM AND METHOD

Group Art Unit : 1651

pplicants

Serial No.

Filed

For

April 3, 2001

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 QFR § 1.56 and § 1.97(b), applicants wish to call the attention of the Examiner to the references listed below:

U.S. Patent Nos. 5,897,620; 5,270,921; 5,890,138; 5,835,896; 5,905,975; 5,715,402; 5,999,915; 5,774,357; 6,044,363; 5,850,442; 6,000,826; 5,826,244; 5,950,177; 5,818,914; 5,802,502; 5,794,219; 5,727,165; 5,803,500; 5,845,266; 4,789,928; 4,412,287; 4,799,156; 5,964,836; 5,243,515; 5,689,652; 5,136,501; 5,297,031; Japanese Abstracts 03142520; 08233918; 08218344; 07301101; 07301096; 08336375; 06331213; 07150696; 07143458; 09282932; 10048535; 07095659; 07260511; 06185624; 06185625; 06107215; 06096557; 05201617; 05176900; 05101664; 05101663; 04240580; 04195046;

04156381; 04156380; 04155572; 04109540; 04109539; 04068372; 04095479; 04095478; 04076914; 04031318; 04076915; 03105273 and the following references: "Using Auctions to Divest Generation Assets"; Ausubel, "Demand Reduction and Inefficiency..."; Ausubel, "Vickrey Auctions with Reserve Pricing"; Klemperer, "Auctions with Almost Common Values..."; Klemperer, "Auction Theory and its Applications to Economics"; "The Internet and Economics"; Klemperer, "Auction Theory: A Guide to the Literature"; "Peter Cramton's HomePage"; Bulow, "Prices and the Winners Curse"; Cramton, "Ascending Auctions"; Fitzgibbon, "Investors Shun Salon.com Dutch Auction"; "Continuous Dutch Auction Bid Format"; "About the Klik-Klok Dutch Auction"; Banatre, "The Design and Building of Enchere..."; "The Bobcad Dutch Auction"; "A Dutch Auction"; Kumar, "IBM Inst for Adv. Commerce..."; "ACE Market Operations Guide"; Marimba Customer Profile - On Sale - Press Release - Press Release; Youngworth, "Internet Auction House Offers Personalized..."; Hatlestad, "Plumbers' Helpers"; "Joint Report on the Government Securities Market"; Dialog(R) File 610; Dialog(R) File 609; Dialog(R) File 16: PROMT(R); Dialog(R) File 16:1AC PROMT(R); Dialog(R) File 148:1AC; "Dutch Auction Interest and Dividend Reset"; "Dutch Auction"; "Dutch Auction Rate Transferable Securities (DARTS)";

"West Point Stevens..."; "Continuous Dutch Auction Bid Format";
Fitzgibbon, "The fine bouquet of a Dutch auction"; "Dutch
Auction"; "Dutch Auctions"; "Auction Types-Dutch"; "Revenue
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IPO Bombs on 1st Day of Trading"; "Bidders/Buyers FAQ's";
"Technical Papers-Business Negotiations on the Internet";
Gonzales, "Arrest of ships in the Netherlands Antilles and
Aruba"; "Z-Tree: Tips and Tricks"; "Dutch Sequential Auctions";
"What's a Dutch Auction and how does it work"; "Selling After the
close of your Auction"; "BigTime Analyst goes Internet...",
"Knowledge Market Information-Auction Types; Kumar, "Business
Negotiations on the Internet"; "Welcome to the Timeshare
Auction"; "Help for Buyers"; "Auction Formats"; Connolly, "Going
Once, Going Twice"; Junnarkar, "Plans auction site for secondhand goods".

A copy of these references are submitted herewith along with Form PTO-1449.

Some of these references have been cited and described in the "Background of the Invention" portion of the application.

Consideration of the foregoing is respectfully requested during the initial examination of this application.

Respectfully submitted,

MILDE, HOFFBERG & MACKLIN, LLP

Steven M. Hoffberg

Reg. No. 33,511

MILDE, HOFFBERG & MACKLIN, LLP 10 Bank Street - Suite 460 White Plains, NY 10606

914-949-3100

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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First Named Inventor	DAMAN	1			
Group Art Unit	1651				
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First Named Inventor	DAMAN				
Group Art Unit	1651				
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First Named Inventor	DAMAN	□
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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